(19) World Intellectual Property Organization

International Bureau



. | 1886 | 1881 | 1881 | 1881 | 1881 | 1882 | 1883 | 1884 | 1885 | 1885 | 1885 | 1885 | 1885 | 1885 | 1885 | 1

(43) International Publication Date 29 April 2004 (29.04.2004)

PCT

(10) International Publication Number WO 2004/036396 A1

(51) International Patent Classification⁷: 17/60, 19/00 // 155:00, 161:00

G06F 1/00,

(21) International Application Number:

PCT/NO2002/000376

(22) International Filing Date: 17 October 2002 (17.10.2002)

(25) Filing Language:

English

(26) Publication Language:

English

(71) Applicant (for all designated States except US): CONAX AS [NO/NO]; Fred Olsens Gate 6, N-0152 Oslo (NO).

(72) Inventors; and

(75) Inventors/Applicants (for US only): LARSEN, Øystein [NO/NO]; Hesteskoen 25, N-1407 Vinterbro (NO). NICO-LAYSEN, Wictor [NO/NO]; Hurdalsgata 2, N-0655 Oslo (NO). BLOM, Harald [NO/NO]; Larsbråtveien 4, N-0674 Oslo (NO). SANDVIK, Vidar [NO/NO]; Oppegårdgata 6B, N-0196 Oslo (NO). STOKKE, Frode [NO/NO]; Monradsgate 1E, N-0577 Oslo (NO).

(74) Agent: TANDBERGS PATENTKONTOR AS; Boks 7085, N-0306 Oslo (NO).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

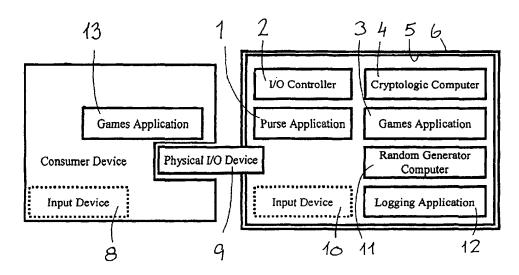
(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: SECURE OFFLINE BETTING DEVICE



(57) Abstract: A method and a secure offline betting device for playing games offered by a betting operator offline and away from the premises of the betting operator, the device thereby comprising a purse application (1), an 1/O controller (2), a games application (3, 13), a cryptologic application (4), a physical 1/O device (9), a random generator application (11) and a logging application (12) all encapsulated in a tamperproof physical enclosure (5).

2004/036396 A1

1

83206-SS

5

35

Secure Offline Betting Device

The present invention is related to a secure offline betting device for electronic betting on games offered by a betting operator, outside of the premises of the betting operator, without being online connected to the betting operator.

By using cryptographic techniques (e.g. Public Key Cryptography, Digital Signature, and Public Key Infrastructure) it is possible today to ensure the integrity of data and applications and set specific access privileges to authorised users. Several different means for user identification exist (e.g. PIN code based methods, devices with biometric sensors and more). Several technologies exist for electronic betting systems operated on a computer system in a secure data centre or in a vending machine operated under surveillance. Some betting systems also provide online remote access from a, cellular phone, PDA, digital TV set-top box or other device. There are today no device that provides these techniques and functionality integrated and combined in a tamper-proof manner to obtain a secure offline betting device.

In many situations it is important and necessary for a betting operator to offer offline electronic betting away from the premises of the betting operator or its reseller. Such situations occur for example in areas where legislation disallows online betting transactions or online payment transactions when used in combination with betting. Users in such areas may be able to play games in their homes, or other places, away from the premises of the betting operator or its resellers, using a tamper proof device that can be loaded/unloaded with money and containing a gaming engine. The offline betting may be performed on a consumer device such as any computer, cellular phone, PDA, digital TV set-top box, or other device.

To comply with such a requirement a gaming device must be able to i) hold a purse that can be loaded and unloaded at a betting operator or its reseller, deducted when a bet is done and topped if a winning, ii) perform the logics of a game (e.g. blackjack, poker, bingo, slot etc), iii) log transactions, iv) generate random numbers, v) encrypt applications and data, vi) hold a set of access privileges, like the gaming operator may load and unload the purse, the user does not have access to change the purse, the user has only access to the gaming engine and vii) only let an authorised operator and authorised user access the betting device.

The above requirements are fulfilled by the secure offline betting device according to the present invention as defined by the features stated in the patent claims. The secure offline device combines and integrates the above-mentioned techniques into a tamper-proof device. This ensures the integrity of the games with winnings and losses as well as only letting an authorised user play games.

WO 2004/036396 PCT/NO2002/000376

2

With the secure offline mobile betting device according to the invention, a user can be authenticated towards a betting operator i.e. he is allowed to use the secure offline betting device.

The invention will ensure that only an authorised betting operator may load and unload money to/from the betting device. Furthermore it will be ensured that only an authorised betting operator may unload the log from the betting device and that only an authorised user may play the games on the betting device.

The invention also will ensure non-repudiation, i.e. the user of the betting device cannot deny having played games and thereby emptied the purse in the betting device.

According to the invention it further is ensured that, based on signed log information analysis, the winnings on the betting device is within a statistical acceptable pattern, the authorised betting operator is allowed to exchange money hold in the purse with cash

Still according to the invention, logs are gathered from the entire betting device population to analyse winnings and losses to verify that the payout percentage is within the defined range set for the various games

By providing encryption and digital signatures, access to functions in the betting device is only available to an authorised betting operator and an authorised player. The identification may be a PIN code, biometrics etc. If the identification is legal, the betting device will provide access to functions. If not, the betting device will respond that the identification is invalid. If wrong identification is provided more than a predefined number of times, the betting device will be blocked.

The enclosed drawing shows a structural block diagram

10

35

The secure offline betting device consists of the following functional blocks: A purse application, a game application, a random generator application, a logging application, a cryptologic application, an I/O controller, a physical 1/O device and optionally an input device. All these sub-devices are encapsulated in a tamperproof physical enclosure, or wrapping.

The purse application holds the money in the secure offline betting device. Money, or tokens, in the purse can be used to play a game or exchanged for cash at a betting operator. The purse application may be loaded at a betting operator or if the user wins a game. The purse may be a running on a shared/dedicated smart card microchip or some other computational device able to perform general computations.

The games application may contain logics for games (e.g. slot, black jack, poker, bingo, roulette, lotto etc.). The game application may be fully or partially running on a shared/dedicated smart card microchip or some other computational device able to perform general computations or be running fully or partially in the consumer device.

WO 2004/036396 PCT/NO2002/000376

3

The random generator is an application either running on a shared/dedicated smart card microchip or some other computational device able to perform general computations.

The logging application logs transactions when they are performed. The log data—may be un-signed, or signed for security reasons. The logging application may be a running on a shared/dedicated smart card microchip or some other computational device able to perform general computations.

The cryptologic application may be running on a shared or dedicated smart card microchip or some other computational device able to perform cryptographic and security functions as well as general computations.

The I/O controller is either dedicated hardware and/or driver software to (necessary) support the communication towards the physical I/O device.

The physical I/O device may be any standard connector (plug) or devices, e.g. USB, ISO 7816 smart card interface, PCMCIA and others.

15

WO 2004/036396 PCT/NO2002/000376

4

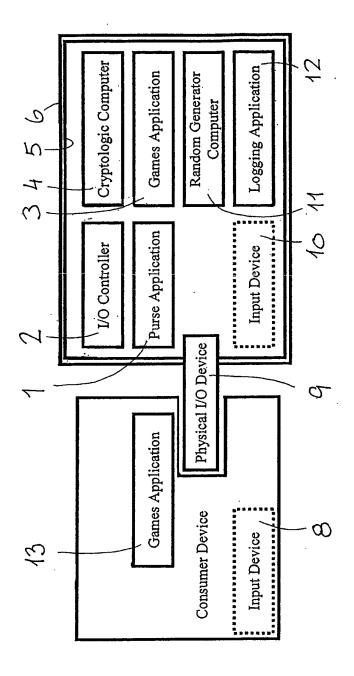
Patent Claims

5

1. A secure offline betting device for playing games offered by a betting operator offline and away from the premises of the betting operator, **characterized in** the device comprising a purse application (1), an I/O controller (2), a games application (3, 13), a cryptologic application (4), a physical I/O device (9), a random generator application (11) and a logging application (12) all encapsulated in a tamperproof physical enclosure (5).

2. A method for providing offline betting on games offered by a betting operator, characterized in (i) an authorised betting operator may load and unload the betting device with money or tokens, (ii) an authorised betting operator may load and unload the betting device with entitlements i.e. authorisations for actual games, (iii) an authorised betting operator may unload the transaction log from the betting device, (iv) an authorised user may access information regarding money or tokens hold by the betting device, (v) an authorised user may run games and thereby increase or decrease the money or tokens hold by the betting device, whereby the game may be a slot, blackjack, poker, bingo or any other game.

20



International application No.

		PCT/NO 02/	00376						
A. CLAS	SIFICATION OF SUBJECT MATTER								
IPC7: G06F 1/00, G06F 17/60, G06F 19/00 // G06F 155:00, G06F 161:00 According to International Patent Classification (IPC) or to both national classification and IPC									
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols)									
	GOGF, GO7F, A63F	se extent that such documents are include	t in the fields asserted						
	Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched SE,DK,FI,NO classes as above								
<u> </u>	ata base consulted during the international search (name	e of data base and, where practicable, sea	rch terms used)						
	3	o o dia otal and, miore praedenoie, sea	terms usedy						
EPO-IN	EPO-INTERNAL, WPI DATA								
C. DOCUMENTS CONSIDERED TO BE RELEVANT									
Category*	Citation of document, with indication, where ap	Relevant to claim No.							
Х	WO 9600950 A1 (WALKER ASSET MAN PARTNERSHIP), 11 January 19 line 1 - page 13, line 32,	96 (11.01.96), page 3,	1,2						
х	US 6234898 B1 (BELAMANT, S.C.P. 2001 (22.05.01), abstract	ET AL), 22 May	1,2						
A	US 5276312 A (MCCARTHY, R.S.), (04.01.94), abstract	4 January 1994	1,2						
									
A	US 5781723 A (YEE, B. ET AL), 14 (14.07.98), column 1, line 2 abstract	4 July 1998 26 - column 2, line 3,	1,2						
χ Furth	er documents are listed in the continuation of Box	C. X See patent family ann	ex.						
"A" docume	* Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand								
"E" earlier : filing d "L" docume	application or patent but published on or after the international ate nt which may throw doubts on priority claim(s) or which is	the principle or theory underlying the invention "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone							
"O" docume means	establish the publication date of another citation or other reason (as specified) nt referring to an oral disclosure, use, exhibition or other	"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination							
the priority date claimed "&" document member of the same patent family									
Date of the	actual completion of the international search	Date of mailing of the international search report							
7 May		1/5'-05- 20	W5						
	mailing address of the ISA/ Patent Office	Authorized officer							
Box 5055,	S-102 42 STOCKHOLM No. +46 8 666 02 86	Åsa Viken /LR Telephone No. +46 8 782 25 00							
	A/210 (second sheet) (July 1998)	- 40 6 762 23 UU							

Form PCT/ISA/210 (second sheet) (July 1998)

International application No.
PCT/NO 02/00376

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	
A	US 6347738 B1 (CREVELT, D.E. ET AL), 19 February 2002 (19.02.02), abstract	1,2	
	•		

Information on patent family members

International application No. 29/03/03 | PCT/NO 02/00376

557 B 195 A 494 A 898 A 0001 A 271 A 498 A 032 A 277 A 395 A 950 A 283 A,B	29/10/98 25/01/96 21/09/95 12/11/98 04/11/97 17/10/95 12/09/95 08/05/96 14/09/95
195 A 1994 A 898 A 19001 A 1271 A 1932 A 1277 A 1950 A 1283 A,B	25/01/96 21/09/95 12/11/98 04/11/97 17/10/95 12/09/95 08/05/96 14/09/95
494 A 898 A 9001 A 271 A 498 A 9032 A 277 A 395 A 950 A 283 A,B	21/09/95 12/11/98 04/11/97 17/10/95 12/09/95 08/05/96 14/09/95
898 A 1001 A 1271 A 1498 A 1032 A 1277 A 1395 A 1950 A 1283 A,B	12/11/98 04/11/97 17/10/95 12/09/95 08/05/96 14/09/95
001 A 271 A 498 A 032 A 277 A 395 A 950 A 283 A,B	04/11/97 17/10/95 12/09/95 08/05/96 14/09/95
271 A 2498 A 2032 A 2277 A 395 A 950 A 283 A,B	17/10/95 12/09/95 08/05/96 14/09/95
498 A 2032 A 277 A 395 A 950 A 283 A,B	12/09/95 08/05/96 14/09/95
032 A 277 A 395 A 950 A 283 A,B	08/05/96 14/09/95
277 A 395 A 950 A 283 A,B	14/09/95
395 A 950 A 283 A,B	
950 A 283 A,B	12/09/95
283 A,B	12/09/95
	15/09/95
74/ A K	13/09/95
080 D	00/00/00
724 B	03/07/97
048 A	30/11/95
.560 A	28/12/95
288 D	00/00/00
162 A	20/09/95
758 A	05/04/98
359 D	00/00/00
324 B	18/02/98
164 A	11/09/95
950 A	09/10/95
582 A	18/03/96
402 A	26/07/96
220 A	02/10/95
746 A	. 12/09/95
971 A	11/12/95
582 A	18/09/95
671 A	12/09/95
437 A	12/09/95
375 A	23/05/96
638 B	04/03/03
689 A	14/09/95
451 A	13/02/96
	13/02/30
918 A	29/12/00
.263 D	00/00/00
.945 B	28/10/99
1596 A	11/06/97
1939 A	08/09/99
869 D	00/00/00
564 A	10/02/02
548 A	28/10/99
351 A	29/05/97
	10/02/99
ил / Г	20/07/01
	29/05/97
428 A	24/04/98
428 A	
	7817 A 1947 C 1948 A 19762 A

Information on patent family members

29/03/03

International application No. PCT/NO 02/00376

Patent document cited in search report			Publication date	Patent family member(s)		Publication date
US	5276312	A	04/01/94	AU BR MX MX OA WO	9153591 A 9107145 A 174091 B 9102483 A 9782 A 9210806 A	08/07/92 19/04/94 20/04/94 01/06/92 15/04/94 25/06/92
US	5781723	A	14/07/98	NONE		
US	6347738	B1	19/02/02	AU AU CA EP US ZA	721733 B 1913497 A 2202689 A 0805424 A 5902983 A 9703623 A	13/07/00 06/11/97 29/10/97 05/11/97 11/05/99 25/11/97

Form PCT/ISA/210 (patent family annex) (July 1998)